SECTOR #

| Under the | | | Patent and Traden | nark Office: (| PTO/SB/21 (6-98) through 09/30/2000. OMB 0651-0031 U.S. DEPARTMENT OF COMMERCE ction of information unless it displays a | | | |
|---|------------------------------|---|--------------------|---|--|--|--|--|
| Valid OME | 3 control number. | | Application Num | nber | 09/559,021 | | | |
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | | Filing Date | | April 27, 2000 (SEP 2 5 7 | | | |
| | | | First Named Inve | entor | Wolff | | | |
| | | | Group Art Unit | 1 | Initial Patent Examination Division | | | |
| | | | Examiner Name | | | | | |
| Total Number of | of Pages in This Subm | ission 11 | Attorney Docket | Number] | Mirus.014.02 | | | |
| | | ENCLOS | SURES (check all) | that apply, |) | | | |
| Fee Transmittal Form X Fee Attached | | ng-related Papers Routing Slip (PTO/S companying Petition to Convert to a small Application of Attorney, Revocation of Correspondence | | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Additional Enclosure(s) (please identify below): | | | | |
| · | ts under 37 CFR 2 or 1.53 | | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | | | |
| Firm or Individual name | Mark K. Johnso | on | | ٠ | | | | |
| Signature M Kalluta | | | | | | | | |
| Date | | | | | | | | |
| CERTIFICATE OF MAILING | | | | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 25, 2000 | | | | | | | | |
| Typed or printed name Mark K. Johnson | | | | | | | | |
| Signature Manager | | | | Date | September 25, 2000 | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (6/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| FEE TRANSMITTA | | | | | Co | mplete i | if Known | | |
|---|---------------------|---|---------------------|----------|----------|----------------------------|--------------------------------|----------------|---------------|
| I LL IIIANOMII IA | | Appli | catio | n Num | ber | | 09 | 9/559,021 | 70 |
| for FY 2000 | | Filing | Date |) | | | Apr | il 27, 200 | 0 / |
| Patent fees are subject to annual revision. | | | First Named Invento | | | | - | SEP | |
| Small Entity payments <u>must</u> be supported by a small entity state otherwise large entity fees must be paid. See Forms PTO/SB/0 | | Exam | niner | Name | | | | · - | P |
| See 37 C.F.R. §§ 1.27 and 1.28. | | Group / Art Unit | | | | | | | E |
| TOTAL AMOUNT OF PAYMENT (\$) 65.00 | | Attorney Docket No. | | | | MIRUS.014.02 | | | 2 |
| METHOD OF DAVMENT () | | FEE CALCULATION (continued) | | | | | | | |
| METHOD OF PAYMENT (check one) The Commissioner is hereby authorized to charge | - | NDDIT | ON | | | LCULA | TION (CO | nunuea) | |
| 1. Indicated fees and credit any over payments to: | Larg | ADDIT | y Sma | II Entit | | | | | |
| Deposit | | Fee de (\$) | | Fee e | | Fee [| Description | 1 | Fee Paid |
| Account Number | 105 | 130 | 205 | 65 | Surcha | arge - late f | filing fee or o | ath | 65.00 |
| Deposit | 127 | 50 | 227 | 25 | | | orovisional fil | ling fee or | |
| Account Name | | | | | cover | | ificatio- | | |
| Charge Any Additional Fee Required | 139 | | 139 | 130 | | nglish spec | | vination | |
| Under 37 CFR §§ 1.16 and 1.17 | 1 | 2,520 | | 2,520 | | • | st for reexam cation of SIR | | |
| 2. X Payment Enclosed: | 1112 | 920* | | 920* | Exami | ner action | | | |
| Check Money Order Other | 113 | 1,840* | 113 | 1,840 | Reque | sting public ner action | cation of SIR | after | |
| | 115 | 5 110 | 215 | 55 | | | ly within first | month | |
| FEE CALCULATION | 116 | | 216 | 190 | Extens | ion for rep | ly within seco | ond month | |
| 1. BASIC FILING FEE | 117 | 870 | 217 | 435 | Extens | ion for rep | ly within third | d month | |
| Large Entity Small Entity Fee Fee Fee Fee Description | 118 | 1,360 | 218 | 680 | Extens | ion for rep | ly within four | th month | |
| Code (\$) Code (\$) Fee Paid | 128 | 1,850 | 228 | 925 | Extens | ion for rep | ly within fifth | month | |
| 101 760 201 380 Utility filing fee 106 310 206 155 Design filing fee | 119 | 300 | 219 | 150 | | of Appeal | | | |
| 107 480 207 240 Plant filing fee | 120 | 300 | 220 | 150 | - | | upport of an a | appeal | |
| 108 760 208 380 Reissue filing fee | 121 | 260 | 221 | 130 | | st for oral I | _ | | |
| 114 150 214 75 Provisional filing fee | 138 | 3 1,510 | | 1,510 | | | e a public us - unavoidabl | e proceeding | |
| SUBTOTAL (1) (\$) | 140 | | 240 | 55 | | | - unintention | | |
| | 1 | 1,210 | | | | issue fee (d | | ių: | |
| 2. EXTRA CLAIM FEES Fee from | 142 | 2 1,210 3 430 | 242 243 | 215 | - | issue fee | J. 1010000) | | |
| Extra Claims below Fee Paid Total Claims -20** = X = = = = = = = = = = = = = = = = = | 144 | | 244 | 290 | _ | ssue fee | | | - |
| Independent 3** = X | 122 | | 122 | 130 | Petitio | ns to the C | ommissioner | r | |
| Claims | 123 | 3 50 | 123 | 50 | Petitio | ns related t | to provisional | l applications | |
| **or number previously paid, if greater; For Reissues, see below | 126 | 240 | 126 | 240 | Submi | ssion of Inf | ormation Dis | sclosure Stmt | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) | 581 | 40 | 581 | 40 | | | patent assign | | |
| 103 18 203 9 Claims in excess of 20 | 146 | 760 | 246 | 380 | Filing a | a submissi | on after final | | |
| 102 78 202 39 Independent claims in excess of 3 104 260 204 130 Multiple dependent claim, if not paid | 149 | 760 | 249 | 380 | For ea | | al invention | | |
| 109 78 209 39 ** Reissue independent claims over original patent | Othe | examined (37 CFR § 1.129(b)) Other fee (specify) | | | | | | | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | Other fee (specify) | | | | | | | | |
| SUBTOTAL (2) (\$) | Red | uced by | Basic | Filing | Fee Pai | d S | UBTOTAL | . (3) (\$) | 65.00 |
| SUBMITTED BY | | | | | | | Complete (i | f applicable) | |
| Name (Print/Type) Mark K. Johnson | | Regist (Attorne | | | 35,9 | 909 | Telephone | (262) 8 | 321-5690 |
| Signature | <u> </u> | • | | | | | Date | Septemb | er 25, 2000 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Application of: Jon A. Wolff and Alexander V. Sokoloff |) | | OIPEVOIS |
|--|---|---|----------------|
| Serial No.: 09/559,021 |) | | SEP 2 5 2000 3 |
| Filed: April 27, 2000 |) | • | TRADEMINE |
| Initial Patent Examination Division |) | | |

For: A Process For Utilizing Epitopes Recognized By Natural Antibodies

Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application dated July 24, 2000, Applicant encloses a signed Declaration and small entity statements along with the \$65.00 surcharge for small entities. Please note that the balance due listed on the Notice is no longer applicable since Applicants have submitted the appropriate documents necessary for small entity status.

A credit card form has been enclosed authorizing the Commissioner to charge the amount of \$65.00 to cover the fee.

If you have any questions or comments, please contact the undersigned attorney.

Respectfully submitted,

Mark K. Johnson, Reg. No. 35,909

P.O. Box 510644

New Berlin, WI 53151-0644

(262) 821-5690

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail - POST OFFICE TO ADDRESSEE, in an envelope addressed to: Commissioner for

Patents, Washington, D.C. 20231 on

Signatur

Date



FORMALITIES LETTER







UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/559,021

04/27/2000

Jon A. Wolff

Mirus.014.02

Mark K. Johnson P O Box 510644 New Berlin, WI 53151-0644



Date Mailed: 07/24/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is insufficient.

 Applicant must submit \$ 345 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 475.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

09/28/2000 ETULU1

00000043 03559021

01 FC:205

65.00 OP